







THE EU RESEARCH & INNOVATION PROGRAMME

2021 - 2027

INTRODUCTION TO EUROPEAN PARTNERSHIPS IN HORIZON EUROPE

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Research and Innovation

New approach to Partnerships: Why?

Impact Assessment annex 8-5: current partnership landscape

Partnership approaches

Public-public partnerships (P2P)

Public-private partnerships (PPP)

EIT-KICs*

FET Flagships**

Implementation modes

ERA-NET-Cofund, EJP Cofund, Article 185, Joint Programming Initiative (JPI)

Contractual Arrangement (cPPP) Article 187 H2020 Grant agreements for different types of actions Framework Partnership Agreements (FPA)

Currently active R&I Partnerships (Horizon 2020) a) ERA-NETs: ~70

b) EJP Cofund: 5

c) Article 185: 6

d) JPIs***: 10

a) JUs: 7 (+HPC)

b) cPPPs: 10

a) KICs: 6 (+ 2 until 2020)

a) FET-Flagships: 2 (+Quantum)

Financial contribution from H2020, estimated)

2.500 M€ (3,1% of H2020 budget) **13.450 M€** (17,5% of H2020 budget)

2.400 M€ (3,1% of H2020 budget) **1.000 M€** (1,3% of H2020 budget)

*EIT-KICs: Knowledge and Innovation Communities (KICs) of the European Institute for Innovation and Technology (EIT)

**FET-Flagships: Flagships of the Future and Emerging Technologies programme (FET)

*** JPIs: Joint Programming Initiatives are no EU level instruments but included here as they receive EU support via ERA-NETs and/or CSAs



New approach to European Partnerships

New generation of objective-driven and more ambitious partnerships in support of agreed EU policy objectives

Key Features

- Strategic orientation
- Simple architecture and toolbox
- Common set of criteria for the life-cycle (Horizon Europe regulation Article 10 and Annex III)

CO-PROGRAMMED

Based on Memoranda of Understanding/contractual arrangements; implemented independently by the partners and by Horizon Europe

CO-FUNDED

Based on a joint programme agreed and implemented by partners; commitment of partners for financial and in-kind contributions

INSTITUTIONALISED

Based on long-term dimension and need for high integration; partnerships based on Art 185/187 of TFEU and the EIT legal acts for 2021-2027



Co-programmed European Partnerships

- Most relevant current actions: Contractual Public-Private-Partnerships (cPPP)
- Legal form: Memorandum of Understanding (signed between representatives of the partners and the Commission)

Implementation:

- Union budget is implemented through Horizon Europe Work Programme.
- > Partners implement their commitments and contributions under their responsibility.
 - Activities and contributions have to be agreed in the Annual Work Plan (to ensure they are in the scope of the partnership)
- European Commission: call topics for the necessary range of actions (R&I, Innovation actions, CSA, prizes)
- Partners: their own activities and investments;
- Association provides back-office with important functionalities
- Estimated EU contribution to the 12 co-programmed partnerships: EUR 8.1 billion



Co-funded European Partnership

- Relevant current actions: European Joint Programme and ERA-NET Cofund;
- **Legal form:** Grant Agreement (<u>programme co-fund action</u>) signed between a consortium of beneficiaries and the Commission;
- Implementation: Member States design a common programme to be implemented under their responsibility. It pools national funding/resources with co-funding from the Union.
- Funding rate 30% [in justified cases up to 50%];
- Calls and evaluations are organised centrally, beneficiaries in selected projects are funded at national level (on the basis of rules agreed by partners
- **Estimated EU contribution to the 16 co-funded partnerships**: EUR 2.3 billion



Institutionalised European Partnerships

- Legal basis: Article 185 and Article 187 of the TFEU requires the
 - preparation and adoption of a Commission proposal (incl. ex-ante impact assessment)
 - a Decision of European Parliament and Council (Art 185) / Council Regulation (Art 187, EIT)
- Implementation by dedicated structures created for that purpose (designated by Member States or Union body, such as Joint Undertaking)
- Rules for Participation of Horizon Europe apply by default
- Specific provisions in Horizon Europe set higher requirements, e.g.
 - Implemented only if other forms of Partnerships would not achieve the objectives, and if justified by a longterm perspective and high degree of integration;
 - Central management of all financial contributions (except in duly justified cases);
 - Article 185 initiatives: Mandatory participation of at least 40% of MS;
 - Financial contributions from partners other than the Union is required.
- Estimated EU contribution to institutionalized partnerships: EUR 11 billion + EUR 2.8 billion for KICs



Overview of the 49 European Partnerships

PILLAR II - Global challenges & European industrial competitiveness

PILLAR II - Global challen	ges & European industrial co	ompetitiveness		PILLAR III - Innovative Europe		
CLUSTER 1: Health	CLUSTER 4: Digital, Industry & Space	CLUSTER 5: Climate, Energy & Mobility	CLUSTER 6: Food, Bioeconomy, Agriculture,	EIT	SUPPORT TO INNOVATION ECOSYSTEMS	
Innovative Health Initiative	Key Digital Technologies	Clean Hydrogen	Circular Bio-based Europe	InnoEnergy	Innovative SMEs	
Global Health Partnership	Smart Networks & Services	Clean Aviation	Rescuing Biodiversity to Safeguard Life on Earth	Climate		
Transformation of health systems	High Performance	Single European Sky ATM Research 3	Climate Neutral,	Digital		
Chemicals risk assessment	Computing	Europe's Rail	Sustainable & Productive Blue Economy	Food		
	European Metrology (Art. 185)	Connected and Automated	Water4All	Health		
ERA for Health	Al-Data-Robotics	Mobility (CCAM)	Animal Health & Welfare*	Raw Materials		
Rare diseases*	Photonics	Batteries	Accelerating Farming	Manufacturing		
One-Health Anti Microbial Resistance*	Made in Europe	Zero-emission waterborne transport	Systems Transitions*	Urban Mobility		
Personalised Medicine*	Clean steel – low-carbon	Zero-emission road	Agriculture of Data*	Cultural and Creative		
Pandemic Preparedness* Co-funded or co- programmed	steelmaking	transport	Safe & Sustainable Food System*	Industries		
	Processes4Planet	Built4People	Cycloni	CROSS-PILLARS II AND III		
	Global competitive space systems**	Clean Energy Transition				
		Driving Urban Transitions		European Open Science Cloud		

- Institutionalised Partnerships (Art 185/7)
- Institutionaised partnerships / EIT KICs
- Co-Programmed
- Co-Funded



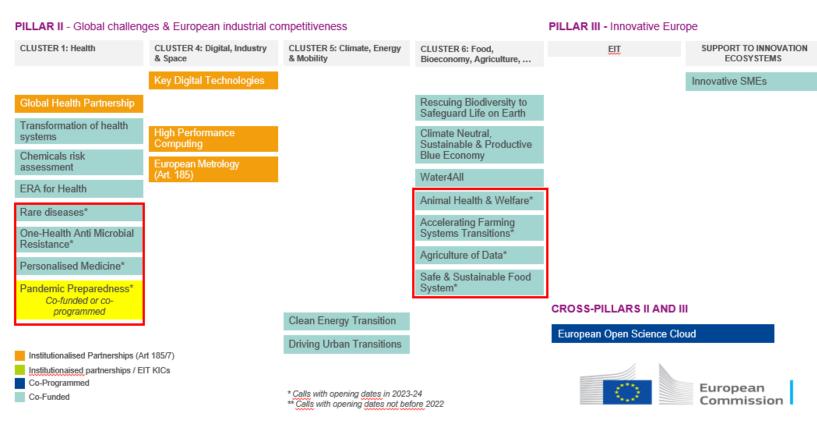
PILLAR III - Innovative Furone

^{*} Calls with opening dates in 2023-24

^{**} Calls with opening dates not before 2022

European Partnerships with Member State participation

Important to know:



- Participation decided at the level of the country (long-term commitment)
- Funding typically limited to legal entities from countries that are a partner
- Co-funded partnerships starting in 2021/2: many currently in grant preparation phase; in principle possible to join at a later stage
 → contact the coordinator
- Co-funded partnerships starting in 2023/4: in preparation phase you can be involved from the very beginning (contact points on our web) → request for political commitments in 1Q 2022
- KDT, HPC, Metrology: notification of participation via a letter of commitment

European Commission

European partnerships with 'industry' participation



Important to know:

- Private members are usually represented by associations → join the association
- Clean Aviation, Single European Sky and Europe's Rail: founding members listed in the SBA. → open calls for expression of interest to select new members
- EIT KICs: community membership typically fully open → check their website



Useful sources of information

1. <u>European partnerships webpage</u> on europa.eu:

- ✓ Here you will find all the published partnership proposals and contact points
- ✓ Report on coherence and synergies
- ✓ Infographics

2. www.era-learn.eu

- ✓ Contains a large variety of detailed information on the financial management of European partnerships, guidelines on SRIA development, etc.
- 3. Workshop for Associated Countries (beginning of February)



#HORIZONEU

Thank you!





https://ec.europa.eu/info/horizon-europe/europeanpartnerships-horizon-europe_en

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